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1	Frank E. Scherkenbach (SBN 142549)	
2	scherkenbach@fr.com Kurt L. Glitzenstein (pro hac vice)	
3	glitzenstein@fr.com FISH & RICHARDSON P.C.	
4	One Marina Park Drive Boston, MA 02210	
5	Telephone: (617) 542-5070 Facsimile: (617) 542-8906	
6	Attorneys for Defendant MICROSOFT CORPORATION	
7		
8	Additional counsel listed on signature page	
9	UNITED STATES I	DISTRICT COURT
10	NORTHERN DISTRIC	CT OF CALIFORNIA
11	(SAN JOSE	DIVISION)
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13	SENTIUS INTERNATIONAL, LLC,	Case No. 5:13-cv-00825 PSG
14	Plaintiff,	DEFENDANT MICROSOFT
15	v.	CORPORATION'S RESPONSIVE CLAIM CONSTRUCTION BRIEF
16	MICROSOFT CORPORATION,	
17	Defendant.	
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MICROSOFT'S RESPONSIVE CLAIM CONSTRUCTION BRIEF Case No. 5:13-cv-00825 PSG

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I. INTRODUCTION

This is the second time that Sentius has asserted its patents in litigation. Back in 2000, Sentius sued an entity called Flyswat Inc. in this District alleging infringement of U.S. Pat. No. 5,822,720 ("the '720 patent"). After construing the disputed claims, Judge Armstrong granted summary judgment of invalidity under 35 U.S.C. §§ 101 and 112. The case settled pending appeal.

Sentius attempted to fix the '720 patent by placing it into reissue. The two resulting reissue patents (the asserted '731 and '633 patents) have claims that are long and narrow, prompting Sentius to seek broad and expansive constructions for infringement purposes. For example, Sentius seeks to have this Court adopt a construction for the term "database" that would encompass a PowerPoint slide, an Outlook e-mail, or a Word document. Such a broad construction is unsupported by the intrinsic and extrinsic record, and no person of ordinary skill in 1994 would have called a Word document a "database."

Sentius has also asserted a second patent family against Microsoft, the '985 and '349 patents. These patents were filed very late – the earliest alleged priority date is August 16, 2001. By that time, Microsoft had already released multiple versions of its Office software that included the same features Sentius now accuses of infringing. Specifically, the accused background spell check feature was first offered in Word 95, the accused background grammar check feature was first offered in Word 97, and the accused Synonyms feature was offered in Word 2000. All of these products predate the two asserted patents. For these patents, Sentius is primarily concerned with invalidity. Consequently, Sentius proposes narrowing constructions that import limitations not found in the claims themselves. For example, Sentius takes the straightforward term "receiving" and changes it to "obtaining and storing automatically." Nothing in the patent or prosecution history supports such a narrowing construction.

Because Sentius' proposed constructions are inconsistent with the intrinsic and extrinsic record, they should be rejected. The Court should instead adopt Microsoft's proposed constructions for the disputed claim terms.

II. BACKGROUND

A. The Reissue Patents

The two reissue patents-in-suit (RE40,731 ("the '731 patent") and RE43,633 ("the '633 patent")), both reissues¹ of the '720 patent asserted in the *Flyswat* litigation, purport to describe "a novel indexing scheme that is useful in such applications as learning a foreign language, for example a language based upon an ideographic alphabet, such as Japanese." (D.I. 52, Ex. 2 ('731 Patent) at 1:15-20). The patents state that, "the study of Japanese language is plagued by the burdens of learning Kanji, the ideographic alphabet in which Japanese is written." (*Id.* at 1:58-60). The patents provide a two-step solution in order to provide "a more effective way for people to read and improve their command of the foreign language, while at the same time communicating insightful and relevant cultural, social, and economic information about the country." (*Id.* at 3:58-63). First, the patents describe "building links in a computer environment between particular segments of [the] source material and reference materials related to those segments." (Ex. A at 6). Second, the patents recite "accessing the reference materials when a segment of source material is selected by a user." (*Id.*)

The reissue patents teach that each word in the source material is identified by its offset within the source document. (D.I. 52, Ex. 2 ('731 Patent) at 5:5-6:45 & 7:1-10). For example, the word "fox" in the following sentence has a starting position of 16 and an ending position of 18:

The patents use a "look-up table" to store the offsets for each word in the file, along with "links" to external content associated with that word. (*Id.* at 5:5-6:45, 7:11-20, Fig. 2). For example, the look-up table can store the starting and ending address for the word "fox," with a link to a picture of a fox, as illustrated below:

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Start	
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Whenever a user selects that same portion of the document, the system can display the linked content. For example, if a user clicks their mouse at offset 17 within the file, the patented system determines that the user selected the word "fox" and uses the look-up table to identify the linked content, displaying it to the user.

The patents provide an example in the context of translating a Japanese language document. Specifically, the patents describe a user clicking on Japanese characters at offset 25. (*Id.* at 6:46-65). The patented system uses the look-up table to identify the external content associated with that term (in this case, the translation "Japanese economy"), and display that linked content to the user via a pop-up:

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	START	END	LINK
	10	15	TEXT,0
-	17	19	PICT,100
	20	27	TEXT,200
	29	31	TEXT,300
	32	35	SND,400

Address	Content
200	Japanese Economy

Claim 8 of the '731 patent recites:²

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(1)日本経済の歩み		
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日本はまだ江戸時代半ば、田	日沼 Additional Notes ♪ 国家を治むるを経済といふ」	
(太宰春台『経済録』) とじ	いうように、当時は経済という言葉も統治や政治をさす用語し	ī
すぎませんでした。		
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7 (1874)年ごろからです。	イギリスより 100年も遅れて近代化に乗り出したことが、	
	!」という成長のエネルギーになりましたが、悩ましい簡題:	ŧ
2011		

Reissue allows a patentee to correct a patent that has been deemed "wholly or partly inoperative or invalid, by reason of a defective specification or drawing, or by reason of the patentee claiming more or less than he had a right to claim in the patent." 35 U.S.C. § 251. A reissue patent shows additions to the original claim in italics and deletions surrounded by boldface brackets. Only the currently-operative claim language is shown here, not any additions or deletions.

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8. A method for linking source material to external reference materials for display, the method comprising the steps of:

determining a beginning position address of a **source material stored in an electronic database**;

cutting the source material into a plurality of discrete pieces;

determining a starting point address and an ending point address of at least one of the plurality of discrete pieces based upon the beginning position address;

recording in a look up table the starting and ending point addresses;

linking at least one of the plurality of discrete pieces to at least one of a plurality of external reference materials by recording in the look-up table, along with the starting and ending point addresses of the at least one of the plurality of discrete pieces, a link to the at least one of the plurality of external reference materials, the plurality of external reference materials comprising any of textual, audio, video, and picture information; displaying an image of the source material;

selecting a discrete portion of the displayed source material image; determining a display address of the selected discrete portion;

converting the display address of the selected discrete portion to an offset value from the beginning position address;

comparing the offset value with the starting and ending point addresses recorded in the look-up table to identify one of the plurality of discrete pieces;

selecting one of the plurality of external reference materials corresponding to the identified one of the plurality of discrete pieces;

retrieving the selected one of the plurality of external reference materials using a recorded link to the selected one of the plurality of external, reference materials; and

displaying the retrieved external reference material.

B. The '985 and '349 Patents

The '985 and '349 patents are similar to the reissue patents in that they generally recite systems and methods for building a "term database" that identifies "supplemental content" for various terms in a document. In these two patents, terms of interest are identified by "crawling and parsing [a] corpus of documents to select terms through application of rules." (D.I. 52, Ex. 4 ('985 patent) at 2:21-30). Once terms are identified, they are assigned to "experts" in the field, who can "enter supplemental information for that term, including definitions, explanations, specifications, links, related products or services, ... translations, and reference works..." (*Id.* at 2:7-14). These databases of content are distributed (the '985 patent uses the term "syndicated") to

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remote computers, which can use the term database to create "enhanced page[s] that contain[] links to the additional content." (*Id.* at 8:35-50). Claim 1 of the '985 patent recites:

1. A computer implemented method for processing database content, the method comprising the steps of:

syndicating one or more data objects associated with a term database to one or more remote computers, wherein the one or more data objects contain data associated with one or more terms;

parsing one or more documents to identify at least one term based on at least one rule;

identifying content for the at least one term; and

associating the at least one term with the identified content;

wherein the one or more data objects associated with the term **database** provide a representation of at least a portion of the term **database** at the one or more remote computers and are used to **link** the identified content with the at least one term.

C. The Accused Products

Sentius has accused various software products within the Microsoft Office suite of infringing its four asserted patents. With respect to the reissue patents, Sentius has accused three features as allegedly infringing: background spell check, background grammar check, and "Additional Actions."

The background spell check feature identifies portions of a document (such as a Word document, an e-mail, or a Power Point presentation) that are potentially misspelled by underlining those portions with a red squiggle mark. When a user right-clicks on a potentially misspelled word, the spell check engine can retrieve and display a list of possible correct spellings. The grammar check functionality works similarly – a green squiggle mark is placed under a possible grammatical error and, when a user right clicks on that word or phrase, a list of potential replacements may be provided.

The "Additional Actions" functionality (also referred to as "Actions" or "Smart Tags"), as its name suggests, allows a user to take additional actions for particular types of text. For example, Microsoft Word can identify that certain specific terms (such as "MSFT") represent stock symbols. If a user right clicks on the term "MSFT" in a document, and if the appropriate actions are enabled, the user is given various options under the "Additional Actions" menu item, including "Stock quote on MSN MoneyCentral," "Company report on MSN MoneyCentral," and

"Recent news on MSN MoneyCentral." Clicking one of these options will open a separate web browser window and direct the user to an external web page.

With respect to the '985 and '349 patents, Sentius accuses these same three functionalities. Additionally, Sentius accuses the "Synonyms" functionality as allegedly infringing these patents. The Synonyms functionality provides a thesaurus; when a user right clicks on a term, the accused software can display suggestions from a thesaurus corresponding to that term.

III. STATEMENT OF LAW

Microsoft presents the authority for specific points of law in the individual sections that follow. As a general proposition, claim terms should be given the ordinary and customary meaning "that the term would have to a person of ordinary skill in the art in question at the time of the invention. ... [t]he inquiry into how a person of ordinary skill in the art understands a claim term provides an objective baseline from which to begin claim interpretation." *Phillips v. AWH Corp.*, 415 F.3d 1303, 1313 (Fed. Cir. 2005) (en banc). "Importantly, the person of ordinary skill in the art is deemed to read the claim term not only in the context of the particular claim in which the disputed term appears, but in the context of the entire patent, including the specification." *Id.* "[T]he specification 'is always highly relevant to the claim construction analysis. Usually, it is dispositive; it is the single best guide to the meaning of a disputed term." *Id.* at 1315. "Like the specification, the prosecution history [also] provides evidence of how the PTO and the inventor understood the patent," and is a part of the intrinsic record. *Id.* at 1317.

"In some cases, the ordinary meaning of claim language as understood by a person of skill in the art may be readily apparent even to lay judges, and claim construction in such cases involves little more than the application of the widely accepted meaning of commonly understood words." *Id.* at 1314. "In such circumstances, general purpose dictionaries may be helpful." *Id.* Extrinsic evidence such as dictionaries can also "help educate the court regarding the field of the invention and can help the court determine what a person of ordinary skill in the art would understand claim terms to mean," and therefore "it is permissible for the district court in its sound discretion to admit and use such evidence." *Id.* at 1319.

IV. ARGUMENT

A. "Database" (The Reissue Patents)

Claim Term	Sentius' Construction	Microsoft's Construction
Database	A collection of data with a	A collection of data organized
('731 Patent, all claims;	given structure for accepting,	and searchable via records and
'633 Patent, all claims)	storing and providing, on	fields. A record is one
	demand, data for at least one	complete entry in a database,
	user	e.g., Gerry Friesen, 12 West 21
		Street, new York, NY 10010,
		212-691-8215. A field would
		be the street address field,
		namely 12 West 21 Street.

The independent claims of the reissue patents all require "source material stored in an electronic database." Specifically, the patents describe taking an input file, such as an "electronic book," and "cutting" that file into its constituent parts, such as individual words or phrases, to create a "wordified database." (D.I. 52, Ex. 2 ('731 Patent) at 5:5-18, 7:1-10). In its claim construction briefing in the *Flyswat* case, Sentius highlighted this "database driven approach" of its claimed invention. (*See* Ex. A at 3 ("In contrast to prior art linking technology, the invention described in Claim 8 of the '720 Patent specifies a new system for the automatic addition of hyperlinks to a document using a **database driven approach**")).³

In its infringement contentions, however, Sentius does not point to any database within Microsoft Office (for example, Microsoft Access) as allegedly infringing its patents. Instead, Sentius alleges that features such as an Outlook e-mail, a Power Point slide, or a Word document in memory can satisfy the "database" limitation:

"When any of the accused products opens a document or file, the document/file is loaded into random access memory and displayed on a computer screen. ... The textual content in the document/file is 'source material' and is stored in a structured manner within the document/file ('database')."

(Ex. B at 6).

³ All emphasis in this brief is added unless otherwise noted.

This application of the claims is far too broad. The case of *Finisar Corp. v. DirecTV Group, Inc.*, 523 F.3d 1323 (Fed. Cir. 2008), is instructive. In that case, the district court construed the term "information database" to mean, "a collection of computerized information which can be accessed." *Id.* at 1330. The Federal Circuit rejected this construction as "unjustifiably broad." *Id.* It noted that the claim language and specification required various hallmarks of a database, such as an index and the ability to search (i.e., query) the data. *Id.* at 1331.

Similarly, here the claim language itself, as well as the specification, describe a traditional database that uses a "table" structure to store data in records and fields. The patents recite that, "[i]n the new format of the **present system**, every word or sound, for example, can be linked to information not contained within the text using an indexing method that maps a single word or phrase to a **table** that contains external reference numeral." (D.I. 52, Ex. B ('731 Patent) at 6:37-45). The claims of the reissue patents refer to this as a "look up table," which stores a record for each word in the document containing individual fields including "the starting and ending point addresses of the at least one of the plurality of discrete pieces" and "a link to the at least one of the plurality of external reference materials." (*Id.*, claim 8). Figure 2 of the '731 patent shows a representation of this claimed look-up table, depicting it as a table with records (*i.e.*, the individual rows) and fields (*i.e.*, the columns within a row). (*Id.*, Fig. 2).

The patents distinguish this "database" structure from the structure of the input data, which can be in the form of a "text file," an "ASCII" file, or a "word processor file." For example, the patents state, "[t]he original text [to be placed in the database] is provided by a publisher in electronic form in a raw binary text format (*e.g.* an ASCII text file or other word processor file). This text is then divided up into the component word or phrases in preparation for the next step," *i.e.*, the creation of the database. (*Id.* at 7:1-10). The patents further describe the process by which an "input text file" is turned into an "indexed database." (*Id.* at 5:19-23). Figure 1 shows both files (such as the "text file 10" and the "file 33") as separate and distinct from the "wordified database 20" and the "relational database 15." (*Id.*, Fig. 1; *see also* Ex. C at SENTIUS0001060-61).

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This "database" structure was critical in securing the allowance of the original '720 patent. Specifically, the claims of the '720 patent as originally filed did not recite that the source material must be stored in an electronic database; this limitation was added in order to overcome a rejection based on an IBM patent (U.S. Pat. No. 5,146,552, to Cassorla *et al.*), which disclosed adding content to documents using tags within the document. (*See* Ex. D; Ex. E). Sentius added the limitation "said source material image stored in an electronic database," and distinguished Cassorla's use of "tags" within the document as different from its database-driven approach, which instead uses an "electronic database... for the source material image" with links to the external content. (Ex. D at 7). The claims were allowed after this amendment.

In addition to being consistent with the intrinsic record, Microsoft's proposed construction is also consistent with extrinsic definitions cited by both parties. The portion of Microsoft's construction reciting "records and fields" is taken from Newton's Telecom Dictionary from 1994. (*See* Ex. F). The Microsoft Press Computer Dictionary from 1994, which Sentius cited in the parties' joint claim construction chart, similarly describes a "database" as made up of "records (or tables), each of which is constructed of fields (columns) of a particular type, together with a collection of operations that facilitate searching, sorting, recombination and similar activities." (*See* D.I. 51, Ex. A at 2). While Sentius was also able to locate broader definitions, "it is improper to read [a] term to encompass a broader definition simply because it may be found in a dictionary, treatise, or other extrinsic source." *See Nystrom v. Trex Co.*, 424 F.3d 1136, 1145 (Fed. Cir. 2005). The proper focus should be on what the intrinsic evidence reveals and, while the extrinsic evidence is informative, as discussed above the intrinsic evidence is consistent with those definitions requiring records and fields. Sentius' proposed construction, by contrast – "a collection of data with a given structure for accepting, storing and providing, on demand, data for

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at least one user" – renders the term "database" meaningless, since all computerized data must have some "structure."⁵

Sentius objects that Microsoft's proposed construction is limited to "relational" databases. This is simply not correct. A relational database, as its name suggests, contemplates the creation of "relationships" between multiple tables. Nothing in Microsoft's proposed construction requires the creation of relationships, nor does Microsoft require more than a single table. Consequently, Sentius' discussion of claim differentiation misses the point. (See D.I. 52 at 7).

Sentius also objects that Microsoft's construction excludes the concept that a text file can in some instances be a database. Once again, this is incorrect: a text file can be a "database" under Microsoft's proposed construction, so long as it is organized using records and fields.⁶

В. "Database" (The '985 & '349 Patents)

The parties appear to agree that the term "database" should be construed consistently between the two asserted patent families. The '985 and '349 patents, like the reissue patents, expressly distinguish between a "database" and other types of files. For example, the patents state that input files can be in any "common file types such as XML, HTML, RTF, Word documents, and Adobe Acrobat." (D.I. 52, Ex. 4 ('985 Patent) at 8:47-50). By using a capital "W," the patents are clearly referring to the accused Microsoft Word file format, which is also referenced elsewhere in the patents. (See Id. at 11:3). The patents never refer to these source documents as a "database," and instead state that words from these sources "may be inserted into the Term Database," which is shown as a separate element. (See Id. at 9:1-13; Fig. 1; Fig. 7). When the patents do refer to "databases," they refer to "commercially-used database formats, such as Oracle, MS SQL, Informix, Sybase, and IBM DB2," which the patents state contain "fields." (Id. at 12:46-49). It is notable that, although the patent expressly refers to Microsoft Word in other locations, it is not listed here.

Sentius' proposed construction is so broad it would raise invalidity issues pursuant to 35 U.S.C. § 112, which is another reason it should be rejected.

One extrinsic source produced by Sentius states, "[a] flat file is the simplest possible database. It consists of a single, unformatted text file in which each line corresponds to a record. k-1 occurrences of a separator character divide each record into k variable length **fields**." (See Ex. G).

For these reasons and the reasons noted above, the Court should adopt Microsoft's proposed definition for the term "database."

C. "Link" / "Linking" (The Reissue Patents)

Claim Term	Sentius' Construction	Microsoft's Construction
A link to the at least one of the	Electronic connection to one or	A pointer to data or
plurality of external reference	more external reference	information or the location of
materials / links to the external	materials / electronic	data or information in a record
reference materials	connections to the external	that is different than the
	reference materials	originating record that is
('731 Patent, all claims;		external to the source material
'633 Patent, all claims)		/
		Pointers to data or information
		or the location of data or
		information in a record that is
		different than the originating
		record that is external to the
		source material

The term "link," like "database," is a term of art whose meaning is straightforward. As Sentius argued in the prior *Flyswat* litigation, a "link" is a reference to "data or information or the location of data or information in a record that is different than the originating record." (Ex. A at 6). In other words, a "link" is a "pointer" to something not found in the source material itself. (*Id.* at 7). The Court in *Flyswat* agreed with Sentius on this point. (*See* D.I. 52, Ex. 6 at 10 ("This use of 'linking' [in the patent] falls squarely within Sentius' suggested meaning of a **pointer from information in one record to information in another**.")). Microsoft's proposed construction is nearly identical to the construction Sentius proposed back in 2002, with the only change being to replace the imprecise term "referring to" with the more specific term "pointer to."

In addition to tracking Sentius' prior construction of the term, Microsoft's proposed construction is also consistent with the intrinsic record. For example, the reissue patents describe "hyperlinks" as "simple pointers" that directly link text with other text, graphics, or sound within the text file itself." (D.I. 52, Ex. 2 ('731 Patent) at 6:24-26). The patents state that, "[i]n the new format of the **present system**, every word or sound, for example, can be **linked** to information not

Sentius incorrectly suggests that this term never appears in the reissue patents other than to describe a mouse pointer. (D.I. 52 at 9).

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27 28 contained within the text using an indexing method that maps a single word or phrase to a table that contains **external reference numeral**." (*Id.* at 6:37-42). In the patents, this "external reference numeral" is a number pointing to the location of "text, graphics, images, movies, and/or sound" stored in a separate table within the database. (*Id.* at 6:37-64 & Fig. 2).

Microsoft's proposed construction is also consistent with extrinsic evidence. For example, the IEEE Standard Dictionary of Electrical and Electronics Terms from 1996 defines the term "link" as "a pointer." (See Ex. H). First named inventor Mark Bookman previously testified that, "[a] link is a **pointer** embedded within a record that refers to data or the location of data in another record..." (Ex. I at SENTIUS0000896-897). Second named inventor Brian Yamanaka similarly agreed that a "link" is "a **pointer** embedded within a record that refers to data or the location of data in another record." (Ex. C at SENTIUS0001295-96).

Sentius argues that Microsoft's use of the word "pointer" is limited to "a specific address in memory" and that it excludes hyperlinks. (D.I. 52 at 9-10). This is incorrect – as noted above, the patent itself explicitly refers to a hyperlink as a "pointer." (D.I. 52, Ex. 2 ('731 Patent) at 6:24-26). The term "pointer" simply refers to anything that provides the location of something else, and it could include a memory address, a hyperlink, or a "reference numeral" referring to an entry in a database table. A "pointer" can be static (i.e., always pointing to the same thing) or dynamic (i.e., pointing to different things at different times). None of these are excluded by Microsoft's proposed construction. Indeed, Microsoft respectfully believes that its use of the term "pointer" is in fact broader than Sentius' chosen term, "electronic connection." A "connection" connotes some physical linkage between two things – for example, a bridge "connects" two banks of a river by physically attaching to each side. An individual standing on one bank of a river and "pointing" to the opposite side, however, need not actually connect the two sides. Because Microsoft's proposed construction is in fact broader than what Sentius argues in its opening brief, its discussion regarding reading in preferred embodiments (i.e., the "reference numeral"

It is certainly possible that in some contexts a "link" could require an actual physical "connection," such as a "link" in a chain, but as discussed above in the context of the reissue patents-in-suit the term is not so limited. C.f. Informatica Corp. v. Business Objects Data Integration, Inc., 2005 WL 6220492 *12 (N.D. Cal. 2005).

embodiment), violating the doctrine of claim differentiation, and purported inconsistencies with various extrinsic sources are straw man arguments that have no relevance to the present dispute. As noted above, the term "pointer" is one that neither Sentius nor the Court in *Flyswat* had any trouble understanding and adopting to describe this term.

Sentius also argues that Microsoft errs by including the word "record" in its proposed construction. (D.I. 52 at 11 ("Nothing in the specification requires that the reference material be a 'record,' whatever that term means.")). As noted above, the term "record" is taken directly from Sentius' own prior construction for this term. (*See* Ex. A at 6). Nevertheless, Microsoft agrees that the term "record" has the potential to cause juror confusion given that it also could refer to a "record" in a database. Microsoft has therefore modified its proposed construction to read, "a pointer to data or information or the location of data or information **that is external to the source material**." This is the same construction for "external reference" proposed by Sentius and adopted by the Court in the *Flyswat* litigation. (*See* Ex. A at 15; D.I. 52, Ex. 6 at 38).

D. "Link" / "Linking" (The '985 & '349 Patents)

The parties appear to agree that the identical terms "link" and "linking" in the '985 and '349 patents should be given the same construction as the reissue patents. The only minor modification Microsoft proposes for these patents is that, to track the grammatical structure of the patent claims, the constructions should read either "create" (for claims that use the term "link," *i.e.*, '985 Patent claim 1 and '349 Patent claim 1) or "creating" (for claims that use the term "linking," *i.e.*, '985 Patent claims 11 & 16 and '349 Patent claims 6, 15 & 31) a pointer to data or information or the location of data or information in a location that is different than the originating location. For the reasons discussed above, the Court should adopt Microsoft's proposed construction for these terms.

E. "Syndicating" / "Syndicated" ('985 patent)

Claim Term	Sentius' Construction	Microsoft's Construction
Syndicating / Syndicated	Making content available for	Distributing / Distributed
	automatic download over the	
('985 Patent, all claims)	internet to one or more remote	
	subscribed computers	

The term "syndicate" has a plain meaning, though not one often used in the computer science context. With respect to the publishing industry, an article or comic strip may be "syndicated" by mailing it to a newspaper to print. A non-technical dictionary from the relevant time period defines the verb "syndicate" as "publish (material) through a syndicate." (Ex. J). In the '985 patent, the term refers to the distribution of databases of content created by "experts" in a particular field. (D.I. 52, Ex. 4 ('985 Patent) at 3:35-40).

Both parties appear to agree that this term refers to "distributing" or "making content available." Sentius, however, claims that this distribution must be (1) automatic (*i.e.*, without user involvement), and (2) over the internet to one or more remote subscribed computers. Both of these additional limitations are inappropriate.

1. "Syndication" Need Not Be Automatic

With respect to the word "automatic," this is a phrase that the patentee was apparently well familiar with – it appears at least 22 times throughout the patent. The patent refers to "automatically" building a database, "automatically" identifying terms of interest in a document, "automatically" assigning terms to an expert, and "automatically" synchronizing databases. (*Id.* at Abstract, 11:14-24, 12:36-45). Some claims specifically recite "automatically associating the at least one term in the one or more source documents with at least one link." (*See, e.g., Id.* at Claims 21, 36). The fact that the patentee did not use the word "automatic" in the asserted claims to modify "syndication" is highly instructive. *See Arlington Indus. v. Bridgeport Fittings, Inc.*, 632 F.3d 1246, 1254-55 (Fed. Cir. 2011) (refusing to read the word "split" into the claims when other claims explicitly recited this limitation); *Resonate Inc. v. Alteon Websystems, Inc.*, 338 F.3d 1360, 1365 (Fed. Cir. 2003) ("Courts may not rewrite claim language based on what has been omitted from a claim").

As Sentius notes, the specification does state in one instance that, "[t]he RichLink processor automatically downloads, from the central database, the data structures necessary to perform the high-speed tagging of the text and to execute tagging rules..." (D.I. 52, Ex. 4 ('985 Patent) at 2:44-52). First, this passage does not use the word "syndicate." Second, the specification elsewhere expressly uses the modifier "automatically" before the word syndicate to

describe a preferred embodiment: "FIG. 7 is a diagram illustrating the steps performed to
automatically create, syndicate, and link to database content, according to the present
invention." (Id. at 3:12-14). This modifier would have been unnecessary if "syndicate" by itself
required automatic distribution. These two statements at most describe preferred operations of the
claimed system, but that does not mean the concept of "automatic" syndication must be read into
every single claim. See Arlington, 632 F.3d at 1254 ("even where a patent describes only a single
embodiment, claims will not be read restrictively unless the patentee has demonstrated a clear
intention to limit the claim scope using words of expressions of manifest exclusion or
restriction"); Kara Tech. Inc. v. Stamps.com Inc., 582 F.3d 1341, 1348 (Fed. Cir. 2009) ("The
claims, not specification embodiments, define the scope of patent protection. The patentee is
entitled to the full scope of his claims, and we will not limit him to his preferred embodiment or
import a limitation from the specification into the claims").9

Sentius also points to passages in the '985 specification that refer to "synchronization," but this concept has nothing to do with the term in dispute. The synchronization of databases occurs *after* those databases are "syndicated," and separate claims explicitly recite this synchronization functionality. (*See* D.I. 52, Ex. 4 ('985 Patent) at Claims 9 & 19). Even with synchronization, it is not at all clear that this must occur "automatically" – instead, the patents state that, "[s]ynchronization of data **can be scheduled** so transfers between the two databases occur automatically..." (*Id.* at 12:36-45).

Sentius also argues that the patentee disclaimed coverage of non-automatic distribution systems during the prosecution of the '985 patent, yet the prosecution history does not support this argument. Specifically, Sentius points to a portion of the file history where the patentee argued that Garner (U.S. Pat. Pub. No. 2003/0033290) only discloses "exporting" a database table to a format such as Microsoft Excel or Access, and not "syndicating" the table to a "remote server."

The fact that the title of the patent refers to "automated" delivery of database content is of even less importance. *See Pitney Bowes, Inc. v. Hewlett-Packard Co.*, 182 F.3d 1298, 1312, 51 U.S.P.Q.2D (BNA) 1161, 1171 (Fed. Cir. 1999) ("If we do not read limitations into the claims from the specification that are not found in the claims themselves, then we certainly will not read limitations into the claims from the patent title.").

(D.I. 52, Ex. 12 at 11; Ex. K). This portion of the prosecution history is irrelevant for at least two reasons. First, to the extent the cited portions of the Garner reference only disclose exporting database content to the same machine running the database, Sentius was entirely correct to point out that this is not "syndicating" to a "remote server," as the pending claim required. The argument focused on the lack of *any* transmission, not on whether or not that transmission was performed automatically. Second, the Patent Office expressly rejected this purported distinction: in an interview summary dated December 1, 2009, the examiner stated, "[e]xaminer thought the proposed amended claims do not overcome the cited prior art." (Ex. L). It was not until after an examiner's amendment was entered adding a number of *additional* claim limitations that the claims were ultimately allowed. (Ex. M). This exchange is simply not informative, and it certainly does not rise to the level of a clear and unambiguous disclaimer or disavowal. *See Storage Tech. Corp. v. Cisco Sys.*, 329 F.3d 823, 833-34 (Fed. Cir. 2003) (noting that prosecution disclaimer requires "clear and unambiguous disavowal of claim scope").

2. Syndication Need Not Occur "Over the Internet to One or More Remote Subscribed Computers"

Sentius also includes in its proposed construction the concept that "syndication" requires distribution "over the internet to one or more remote subscribed computers." It is unclear whether by "internet" Sentius excludes distribution over private networks. It is also unclear what Sentius means by "subscribed computers." Regardless, the limitation is inappropriate.

The '985 patent never states that distribution must occur over a network (whether the Internet or otherwise). The asserted claims contain no such limitation. The only portion of the patent that Sentius cites to support its argument is the preamble, which states, "[a] computer implemented method for processing database content." Sentius suggests that other method steps (such as "synchronizing") cannot occur without the ability for the remote computer to "automatically download" the available content over a network. (D.I. 52 at 14). Yet this is factually incorrect – it would be possible to implement a "computer implemented method for processing database content" by distributing databases, for example, on a CD-ROM executable by a computer.

Sentius also relies on the prosecution history, but once again, the prosecution history does not support its argument. As noted above, Sentius' arguments distinguishing the Garner reference pointed out the lack of *any* distribution to a remote machine whatsoever. (D.I. 52, Ex. 12 at 11). Sentius never argued, for example, that Garner distributed content, for example, using a CD-ROM, but not via the internet.

Because Sentius' proposed construction imports at least two limitations that are not required by the intrinsic record, the Court should instead adopt Microsoft's proposed construction for this term.

F. "Receiving" / "Received" ('349 Patent)

Claim Term	Sentius' Construction	Microsoft's Construction
Receiving / received	Obtaining and storing	Plain and ordinary meaning,
	automatically	which does not require or
('349 Patent, all claims)		exclude "obtaining and storing
		automatically"

The term "receive" has a plain meaning. It means "to get" or "obtain." Even Sentius' own dictionary definition confirms this. (*See* D.I. 52, Ex. 7 at 559).

Sentius' sole argument appears to be that the term "receiving" should be construed consistently with the term "syndicating." For all of the reasons discussed above, Sentius is incorrect that anything in these two patents requires "automatically" obtaining and storing dictionaries. Moreover, even assuming that the Court were to find that there was overwhelming evidence to construe the term "syndicating" to require either "automatic" or "internet" distribution, that says nothing about the separate term "receiving," which is not used in the patents anywhere other than in the claims.

"To act as its own lexicographer, a patentee must clearly set forth a definition of the disputed claim term other than its plain and ordinary meaning." *Thorner v. Sony Computer Entm't Am. LLC*, 669 F.3d 1362, 1365 (Fed. Cir. 2012) (internal quotations and citations omitted). Sentius did nothing here to change the common and straightforward definition of "receive." It should be given its plain and ordinary meaning, with the clarification that Sentius cannot argue

Do to recodification, this section is now referred to as 35 U.S.C. § 112(f). Microsoft will use the older terminology in its briefing to remain consistent with the case law cited herein.

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1	LEXIS 16348, 1998 WL 513598, at *2 (Fed. Cir. July 15, 1998) (non-precedential) (finding
2	"settable control module" subject to 112(6)); Williamson v. Citrix Online LLC, 2012 U.S. Dist.
3	LEXIS 189496 at *41-43 (C.D. Cal. September 4, 2012) (finding "streaming data module" and
4	"distributed learning control module" subject to 112(6)); Kozam v. Phase Forward Inc., 2005 WL
5	6218037, 2005 U.S. Dist. LEXIS 46850 at *17 (D. Md. Aug. 29, 2005) (finding "data verification
6	module" subject to 112(6)). The U.S. Patent Office lists "module for" as a "non-structural term[]
7	that may invoke § 112, ¶ 6." (Ex. N).
8	Similarly, a generic usage of the term "processor" or "computer," with nothing more, has
9	been held to require means-plus-function treatment because it does not recite a specific structure
10	for carrying out a claimed function. See Pers. Audio, LLC v. Apple, Inc., 2011 U.S. Dist. LEXIS
11	157778 at *68-72 (E.D. Tex. January 31, 2011) (finding several "processor for" limitations subject
12	to 112(6)); Soque Holdings (Bermuda) Ltd. v. Keyscan, Inc., 2010 WL 2292316, 2010 U.S. Dist.
13	LEXIS 60501 at *38 (N.D. Cal. June 7, 2010) ("if 'computer' is insufficient structure for a
14	'means' limitation, the naked term 'computer' cannot describe sufficient structure when recited
15	directly in the claim limitation").
16	Here, all of the claims are written as a "module" or a "processor" for performing a recited
17	function (i.e., "a term module for parsing"; "a processing module for identifying"; "a
18	processor for parsing"; "a module for identifying"). There are no specific algorithms recited
19	in the claims that describe how these "parsing" or "identifying" functions are carried out. The
20	terms "module" and "processor" by themselves do not add structure – they at best refer to general
21	purpose hardware running some unspecified algorithm. As the Soque Holdings case noted, if a
22	reference to a general purpose computer or processor is insufficient to provide corresponding
23	structure in the specification, then logically it cannot provide sufficient structure when placed in
24	the claims. See 2010 U.S. Dist. LEXIS 60501 at *36-38, citing Aristocrat Techs. Austl. PTY Ltd.
25	v. Int'l Game Tech., 521 F.3d 1328,1333 (Fed. Cir. 2008) ("In cases involving a computer-
26	implemented invention in which the inventor has invoked means-plus-function claiming, this court
27	has consistently required that the structure disclosed in the specification be more than simply a

general purpose computer or microprocessor"), Finisar Corp., 523 F.3d at 1340-41 ("Simply

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1	reciting 'software' without providing some detail about the means to accomplish the function is
2	not enough"), and Net MoneyIN, Inc. v. VeriSign, Inc., 545 F.3d 1359, 1367 (Fed. Cir. 2008) ("a
3	means-plus-function claim element for which the only disclosed structure is a general purpose
4	computer is invalid if the specification fails to disclose an algorithm for performing the claimed
5	function").
6	Sentius relies on Linear Tech. Corp. v. Impala Linear Corp., 379 F.3d 1311, 1320 (Fed.
7	Cir. 2004), but that case (and others like it) deal with claims that recite hardware "circuits," not
8	general purpose computer software. See Id. at 1319 (construing "circuit" and "circuitry"); see also
9	MIT, 462 F.3d at 1354-55 ("aesthetic correction circuitry"); Apex Inc. v. Raritan Computer, Inc.,
10	325 F.3d 1364, 1373-74 (Fed. Cir. 2003) ("first interface circuit" and "second interface circuit");
11	Power Integrations, Inc. v. Fairchild Semiconductor Int'l, Inc., 711 F.3d 1348, 1363 (Fed. Cir.
12	2013) ("soft start circuit"). In these cases, the use of the term "circuit" along with a description of
13	what that circuit does was sufficient to tell one of ordinary skill in the art how to construct that
14	specific circuit. As noted above, the same is not true in cases involving software, where a
15	recitation of some algorithm is required in order to provide the necessary structure. See
16	Aristocrat, 521 F.3d at 1333; Finisar, 523 F.3d at 1340-41; Net MoneyIn, 545 F.3d at 1367.
17	Sentius also relies on the Federal Circuit's recent decision in <i>TecSec</i> , <i>Inc. v. International</i>
18	Business Machines Corp., 731 F.3d 1336, 1348 (Fed. Cir. 2013), but that decision sheds little light
19	on the dispute here. The first portion of the Federal Circuit's opinion dealt with the term "a
20	system memory," which was found to be "sufficient structure to perform the general function of
21	'storing data.'" Id. Here, the claims at issue recite specific functions ("parsing" and
22	"identifying"), not general functions that can be carried out by a general purpose computer, and
23	thus algorithmic specificity is required. For the second limitation at issue in <i>TecSec</i> , "digital logic
24	means," the Federal Circuit noted that the claims at issue "do not recite a function for the digital
25	logic means to perform," and instead were written using purely structural language. <i>Id.</i> Here, by
26	contrast, the claims at issue only recite the functions that the "modules" or "processors" must
27	perform. Because these disputed terms are written in purely functional language, they should be
28	construed as means-plus-function limitations.

2. There is No Corresponding Structure for the "Parsing" Limitations

Claim 11 of the '985 patent recites, "a term module for parsing one or more documents to identify at least one term based on at least one rule." Similarly, claim 15 of the '349 patent recites, "a processor for parsing one or more documents to identify at least one term based on at least one rule." The function in both claims is "parsing one or more documents to identify at least one term based on at least one rule. As noted above, Microsoft no longer disputes Sentius' proposed construction for this functional claim language.

The next step in construing a means-plus-function limitation is to identify the corresponding structure that is "clearly linked or associated with the claimed function." *Ergo Licensing, LLC v. CareFusion 303, Inc.*, 673 F.3d 1361, 1363 (Fed. Cir. 2012) (citation omitted). As noted above, in the case of computer-implemented means-plus-function limitations, "the structure disclosed in the specification [must] be more than simply a general purpose computer or microprocessor." *Blackboard, Inc. v. Desire2Learn, Inc.*, 574 F.3d 1371, 1384 (Fed. Cir. 2009). Likewise, "simply disclosing software . . . without providing some detail about the means to accomplish the function, is not enough." *Function Media, L.L.C. v. Google Inc.*, 708 F.3d 1310, 1318 (Fed. Cir. 2013) (internal quotations and citations omitted). Rather, the specification must disclose a specific algorithm for performing the claimed function. *Id.* An algorithm is "a step-by-step procedure for accomplishing a given result" – *i.e.*, performance of the function. *Ergo Licensing*, 673 F.3d at 1365. Absent such a disclosure, the term is indefinite, and the claims that recite it invalid. *See id.*

Here, the patents disclose no actual algorithm for carrying out the claimed "parsing" function. The portion of the patents that describe the operation of the RichLink Processor only use functional language to describe the parsing process. (D.I. 52, Ex. 4 ('985 Patent) at 9:1-13 ("The text may be parsed..."). The patents provide some additional detail on how terms may be identified, for example by comparing them to terms found in the "Lexicon Object" (*id.* at 9:5-11), but that says nothing about how the initial "parsing" to identify those terms is carried out. There is no flowchart or other algorithm describing how to break a document into smaller sentences, words, and/or phrases.

Sentius points to portions of the specification that describe "natural language processing to tokenize the text into significant objects such as words and phrases." (D.I. 52 at 22, citing D.I. 52, Ex. 4 ('985 Patent) at 6:57-60). Once again, this says nothing about how this "natural language processing" is carried out. The patents do not describe, for example, how to "tokenize the text into significant objects." Nor do they provide any criteria for determining when an object (such as a word or phrase) is "significant."

Sentius notes that the '985 and '349 patents make reference to the '720 patent, but the '720 patent is only referenced for its discussion of "tagging" source documents, not for any disclosure of "parsing." (*Id.* at 7:35-44). Even if one were to turn to the '720 patent to understand how the claimed parsing is performed, the '720 patent discloses that its "grammar parser 720" as a black box, without any recitation of the structures that actually perform this functionality. (*See* Ex. O at 5:9-10, 7:9-11 and Fig. 1). The Court in the *Flyswat* litigation expressly held that the '720 patent does not disclose the algorithm for "auto-cutting" (*i.e.*, automatically parsing) the source material. (Ex. P at 17). Further, the '720 patent states that source documents can take the form of "text based material, or audio, video, or graphic based information." (Ex. O at 4:50-52). As the Court in *Flyswat* held, there is no disclosure in the '720 patent for how to implement parsing for nontextual sources. (Ex. P at 17-18). Sentius did not appeal this finding.

Because these patents do not disclose any algorithm for parsing documents, claim 11 of the '985 patent and claim 15 of the '349 patent are invalid as indefinite. *See Ergo Licensing*, 673 F.3d at 1365.

3. There is No Corresponding Structure for the "Identifying" Limitations

Claim 11 of the '985 patent recites, "a processing module for identifying content for the at least one term." Claim 15 of the '349 patent recites, "a module for identifying content for the at least one term." Both parties agree that the function should be given its plain and ordinary

While the Court held that one of skill in the art may be able to implement "auto-cutting" textual source material, for the purpose of corresponding structure under 35 U.S.C. § 112(6) the recitation of the structure for doing so must be explicit – it is not sufficient that one of ordinary skill may be able to implement such a structure. *See Noah Sys.*, 675 F.3d at 1318.

meaning. The parties dispute whether there is any corresponding structure disclosed for this claimed function.

The '985 and '349 patents do not themselves recite any algorithm for how the RichLink Processor identifies content for at least one term. The patents state that, "[t]erms from the database are tagged in source documents 760 using the RichLink Processor or other automated methods such as those disclosed in US. Pat. No. 5,822,720..." (D.I. 52, Ex. 4 (''985 Patent) at 7:35-37). Material found outside of the patent, however, cannot act as the corresponding structure for a means-plus-function claim limitation. *See Default Proof Credit Card Sys. v. Home Depot U.S.A., Inc.*, 412 F.3d 1291, 1301 (Fed. Cir. 2005). Consequently, because there is no disclosure of any corresponding structure in the '985 and '349 patents themselves, these means-plus-function limitations are invalid as indefinite. *See Ergo Licensing*, 673 F.3d at 1365.

I. Order of Method Steps (The Reissue Patents)

All of the asserted claims of the '731 Patent and the '633 patent are method claims.

Sentius disputes that these method steps must be performed in the order recited in the claims, even though it did not appeal a similar ruling in the *Flyswat* case. (*See* D.I. 52, Ex. 6 at 7-8). Here, the plain language of the claims and the specifications require that the method steps must be performed in the orders written. *See, e.g., Mformation Techs., Inc. v. Research in Motion Ltd.*, 2012 WL 1857161, at * 3 (N.D. Cal., May 10, 2012) ("if the claim explicitly or implicitly requires a specific order," then the claim is limited to performance of the steps in that order. In addition, the specification or prosecution history may . . . require a narrower, order-specific construction of a method claim in some cases." (internal citations and quotations omitted)); *Altiris, Inc. v. Symantec Corp.*, 318 F.3d 1363, 1370 (Fed. Cir. 2003) (courts look to "the claim language to determine if, as a matter of logic or grammar, [the method steps] must be performed in the order written" and "[i]f not, we next look to the rest of the specification to determine whether it directly or implicitly requires such a narrow construction." (internal citations and quotations omitted)).

The following table demonstrates why each step of the Reissue Patents must be performed

in the order recited:¹²

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Step	Claim Language	Order Requirements
1	determining a beginning position address of a source material stored in an electronic database;	
2	cutting the source material into a plurality of discrete	
3	pieces; determining a starting point address and an ending	Determining start and end of
	point address of at least one of the plurality of discrete pieces based upon the beginning position	"discrete pieces" cannot occur until after "cutting the
	address;	source material" into those discrete pieces (<i>see</i> step 2).
		Start and end addresses cannot be computed as an
		offset from the "beginning position address" until the
		"beginning position address" is determined (<i>see</i> step 1).
4	recording in a look up table the starting and ending point addresses;	Start and end addresses cannot be "recorded" until
		they are "determined" (see step 3)
5	linking at least one of the plurality of discrete pieces	A lookup table cannot be
	to at least one of a plurality of external reference materials by recording in the look-up table, along	created before the start and end addresses are recorded
	with the starting and ending point addresses of the at least one of the plurality of discrete pieces, a link to	in that table (see step 4)
	the at least one of the plurality of external reference	
	materials, the plurality of external reference materials comprising any of textual, audio, video,	
6	and picture information; displaying an image of the source material;	
7	selecting a discrete portion of the displayed source material image;	A user cannot "select" a portion of the source
	muterial mage,	material until it is displayed (see step 6)
8	determining a display address of the selected	The display address cannot
	discrete portion;	be determined until a portion of the source material is
9	converting the display address of the selected	selected (see step 7) • The display address cannot
	discrete portion to an offset value from the	The display address cannot be "converted" to an offset

The language shown is from Claim 8 of the '731 patent, but all of the method claims from the Reissue Patents contain essentially the same steps in the same order.

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1 2		beginning position address;	value until the display address is "determined" (see step 8)
3	10	comparing the offset value with the starting and ending point addresses recorded in the look-up table	The offset value does not exist until after the display
4		to identify one of the plurality of discrete pieces;	address is converted (see step 9)
5			Comparison to a "look-up table" cannot occur before
6 7			the look-up table is created (see steps 4 & 5)
8	11	selecting one of the plurality of external reference materials corresponding to the identified one of the	The selection of the external reference material cannot
9		plurality of discrete pieces;	occur before the comparison with the look-up table takes place (<i>see</i> step 10)
10	12	retrieving the selected one of the plurality of external reference materials using a recorded link to the	The retrieval of the external reference material cannot
12		selected one of the plurality of external, reference materials; and	occur until the external reference material is
13		materials, and	selected (see step 11)
14	13	displaying the retrieved external reference material.	The external reference material cannot be displayed
15			before it is retrieved (<i>see</i> step 12)

Beyond the plain language of the claims requiring the performance of certain steps in the written order, the specification of the reissue patents is replete with instances of the patentee explicitly requiring ordered performance. For example, the patents describe that, "[t]he linking process takes the text after the word cut process and links it to an external reference." (D.I. 52, Ex. 2 ('731 patent) at 7:12-13). The patents further explain that, "[a]fter linking, the text and references are compiled." (Id. at 7:22-24). "During compilation, the cut text is reassembled to create an image of the text that the end user sees." (Id. at 7:22-24). For at least these reasons, the method steps of the reissue patents must be performed in the orders written. 13

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To the extent Sentius contends that the method steps of the '731 and '633 patents do not have to be performed in the written order because of changes made in the reissue proceedings, Microsoft submits that the '731 and '633 patents are improper broadening re-issue patents and are therefore invalid under 35 U.S.C. § 251.

1	v.	CONCLUSION		
2	For the reasons discussed above, Microsoft respectfully requests that the Court adopt its			
3	proposed constructions for the disputed claim terms.			
4				
5	Dated:	December 13, 2013	FISH & RICHARDSON P.C.	
6				
7			By: /s/ Jonathan J. Lamberson Jonathan J. Lamberson	
8			Attorneys for Defendant	
9			MICROSOFT CORPORATION	
10				
11	Additio	onal Counsel		
12	Jonathan J. Lamberson (SBN 239107)			
13	FISH & RICHARDSON P.C. 500 Arguello Street, Suite 500 Redwood City, CA 94063 Telephone: (650) 839-5070			
14				
15	Facsimile: (650) 839-5071			
16	Isabella Fu (SBN 154677) Isabella.fu@microsoft.com			
17	MICR	OSOFT CORPORATION licrosoft Way		
18	Redmo	ond, WA 98052 none: (425) 882-8080		
19		nile: (425) 936-7329		
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CERTIFICATE OF SERVICE The undersigned hereby certifies that a true and correct copy of the above and foregoing document has been served on December 13, 2013 to all counsel of record who are deemed to have consented to electronic service via the Court's CM/ECF system per Civ. L.R. 5-1(h)(1). Any other counsel of record will be served by U.S. mail or hand delivery. By: <u>/s/ Jonathan J. Lamberson</u> Jonathan J. Lamberson 50931437.doc